



# *Studies of Forward Particle Production with LHCb*

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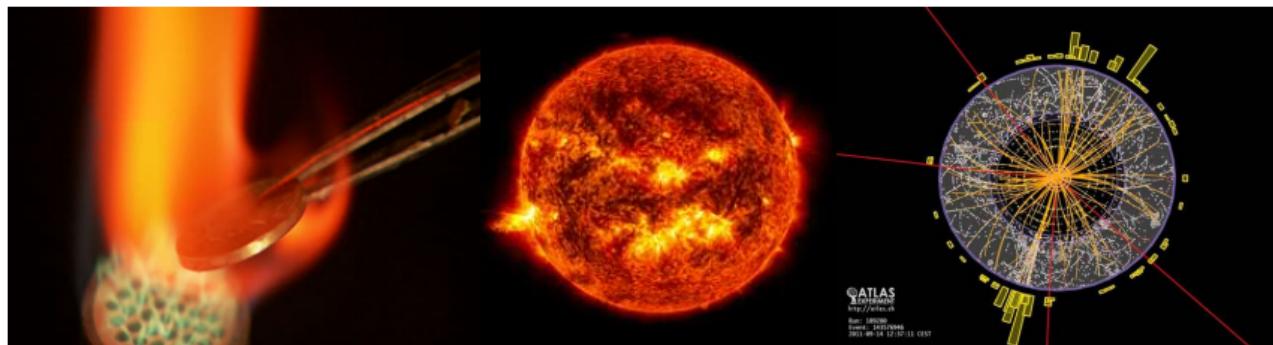
## *Outline*

- *Introduction*
- *The LHCb Detector*
- *Proton-Proton Collisions*
- *Proton-Lead Collisions*
- *Lead-Lead Collisions*
- *Fixed-Target Physics*
- *Summary and Outlook*





→ LHC and LHCb – setting the stage ...



$$T \sim 10^3 \text{ K}$$

$$T \sim 10^7 \text{ K}$$

$$E \sim 10^{12} \text{ eV}$$

$$kT \sim 0.1 \text{ eV}$$

$$kT \sim 10^3 \text{ eV}$$

$$T \sim 10^{16} \text{ K}$$

$$N \sim 10^{23} \text{ particles}$$

$$N \sim 10^{57}$$

$$N \sim 10^2 \dots 10^{16}$$

- physics at the “Tera-scale” ≡ “extreme chemistry”
- probe extreme conditions and search for new heavy particles

close connection to fundamental questions →



→ moderately small number of fundamental fields and interactions

Three generations of matter (fermions)			
I	II	III	
mass → 2.4 MeV/c <sup>2</sup>	1.27 GeV/c <sup>2</sup>	171.2 GeV/c <sup>2</sup>	0 ? GeV/c <sup>2</sup>
charge → 2/3	2/3	2/3	0 Higgs boson
spin → 1/2	1/2	1/2	0
name → u up	c charm	t top	γ photon
<b>Quarks</b>			
mass → 4.8 MeV/c <sup>2</sup>	104 MeV/c <sup>2</sup>	4.2 GeV/c <sup>2</sup>	0 0
charge → -1/3	-1/3	-1/3	1 gluon
spin → 1/2	1/2	1/2	0
d down	s strange	b bottom	g
<b>Leptons</b>			
mass → <2.2 eV/c <sup>2</sup>	<0.17 MeV/c <sup>2</sup>	<15.5 MeV/c <sup>2</sup>	91.2 GeV/c <sup>2</sup>
charge → 0	0	0	0 Z <sup>0</sup>
spin → 1/2	1/2	1/2	1 Z boson
e electron neutrino	μ muon neutrino	τ tau neutrino	0 1
<b>Gauge bosons</b>			
mass → 0.511 MeV/c <sup>2</sup>	105.7 MeV/c <sup>2</sup>	1.777 GeV/c <sup>2</sup>	80.4 GeV/c <sup>2</sup>
charge → -1	-1	-1	±1 1
spin → 1/2	1/2	1/2	W <sup>+</sup> W boson
e electron	μ muon	τ tau	W <sup>+</sup>

particles and antiparticles  
mesons: quark-antiquark  
(anti)baryons: 3 (anti)quarks

→ ... why ...?

- 1 fundamental scalar
- 2 types of fermions
- 3 generations
- 4 fermions/generation
- 3 gauge interactions
- 4 gauge bosons

→ open questions ...



→ how do fundamental particles acquire mass?

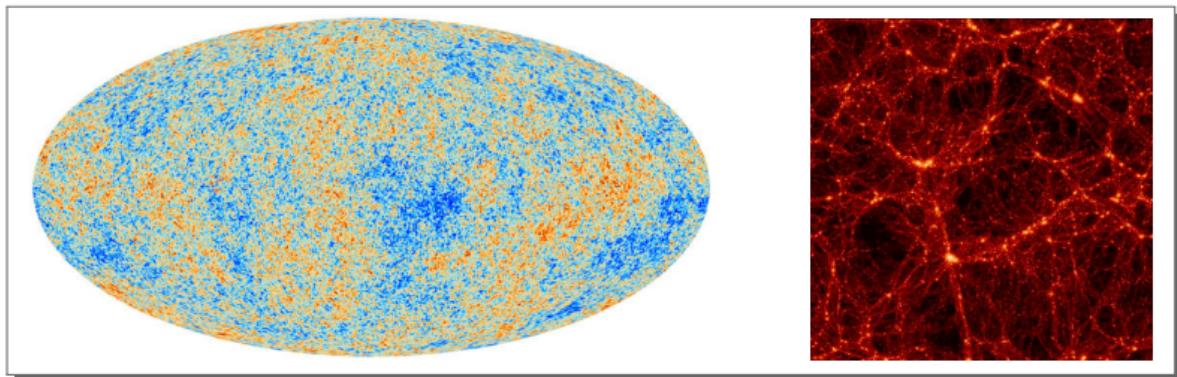
- Standard Model: Higgs mechanism
  - space is filled with a Higgs background field
  - mass arises from coupling to this field
  - if the model is correct, then a Higgs particle must exist as an excitation of this background field
    - ◆ the LHC experiments found a Higgs-particle

→ what determines the mass values?

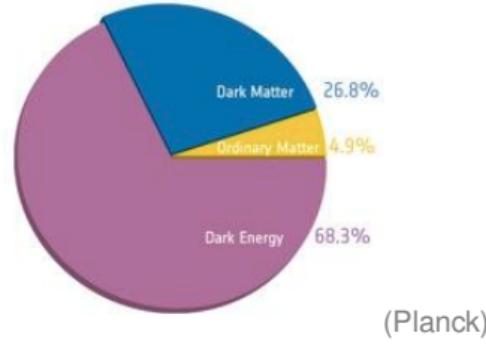
- the Higgs mechanism does not predict mass values
- understanding mass hierarchy requires New Physics
  - new (heavy) particles and fields
  - rich new phenomenology



→ cosmic microwave background & structure formation:



- the universe is “flat” (euclidean)
- its energy content is:
  - 4.9% ordinary matter
  - 26.8% dark matter – heavy particles (?)
  - 68.3% dark energy (??)





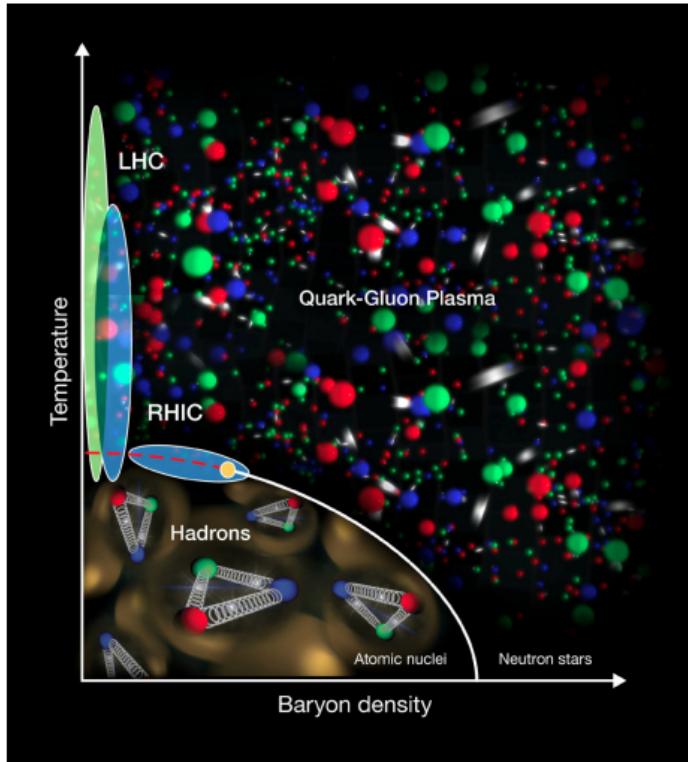
### → the puzzle

- antimatter (in small quantities) is observed in lab-experiments
- always **same amounts** of matter and antimatter created
- the same processes occurred in the early universe
- no evidence for sizeable amounts of **antimatter** in the universe



(HST)

- ◆ no evidence for anti-matter annihilation radiation
- ◆ no evidence for anti-nuclei in cosmic rays

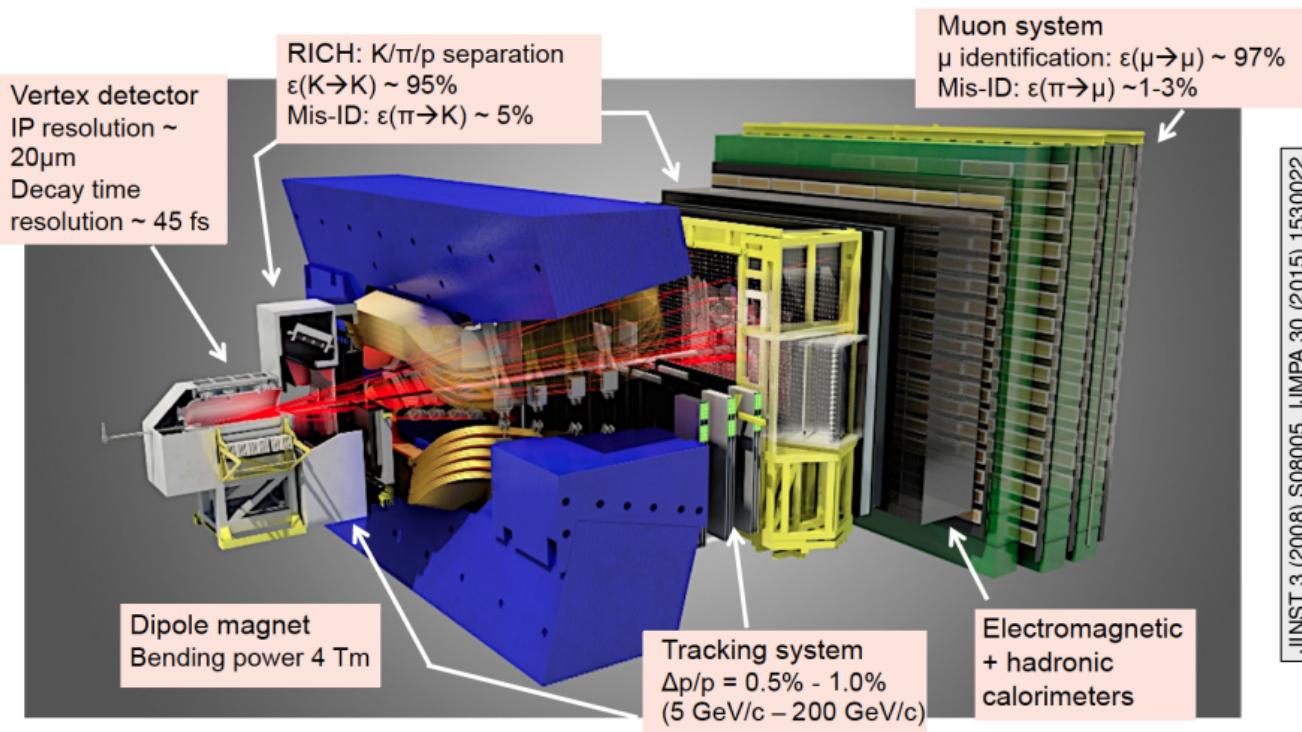


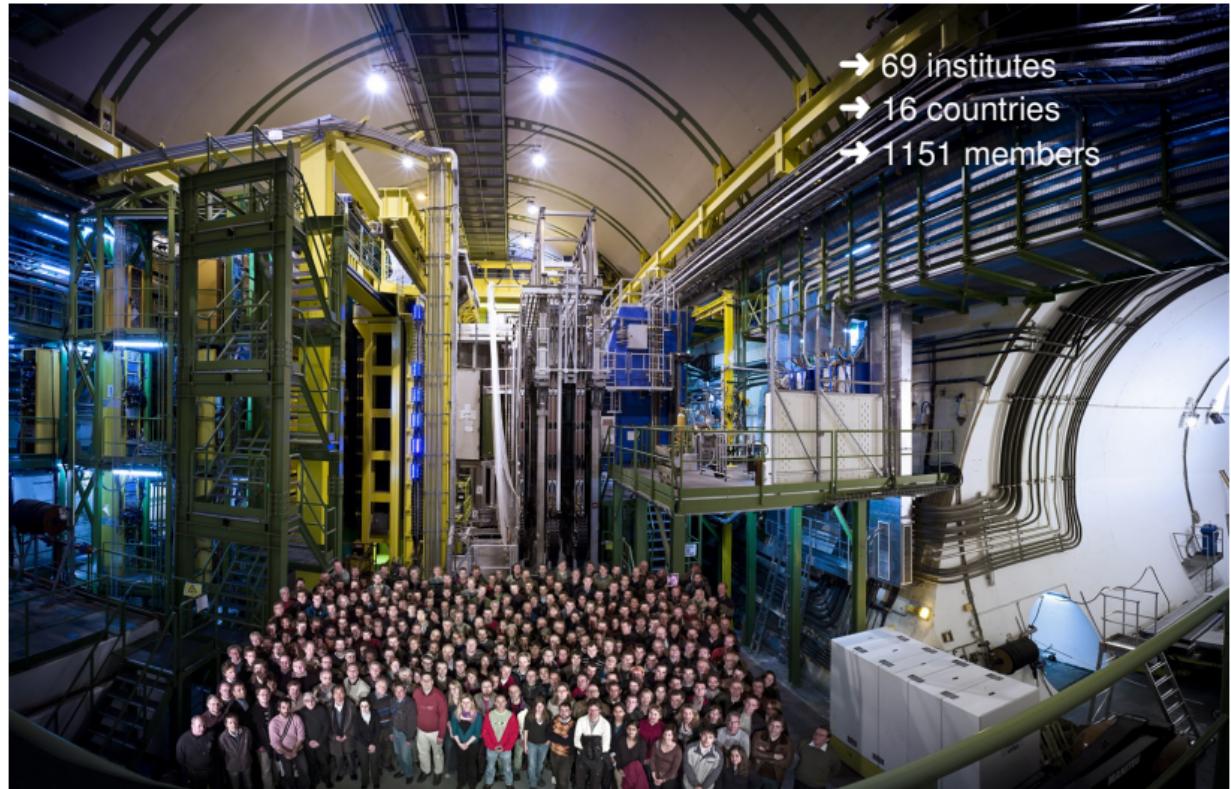
→ open questions

- behaviour of hadronic matter
    - at extreme densities
    - at extreme temperatures
  - equation of state?
  - phase transitions?
  - critical point?
- ❖ ultimate goal:  
understanding of our universe  
from the big bang until today

## 2. THE LHCb DETECTOR



Vertexing, tracking, particle-ID and calorimetry in the forward region down to low  $p_T$ 

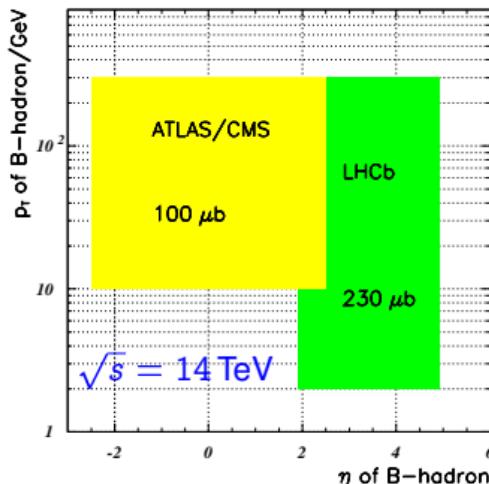




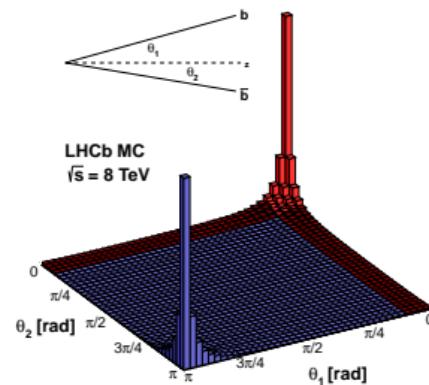


→ designed for  $B$  physics . . . but able to do much more

- exploit forward angular coverage → large boosts:  $B$  decay lengths  $O(1\text{ cm})$
- focus on vertex reconstruction and particle identification
- phase space coverage down to low  $p_T$ , small  $x$  and small polar angles  $\theta$

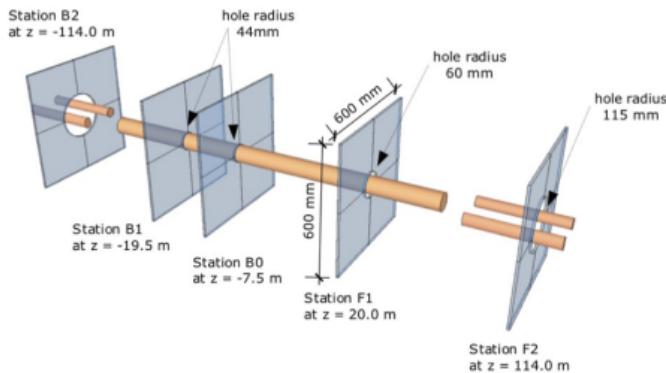


$$\eta \sim -\ln \theta/2$$



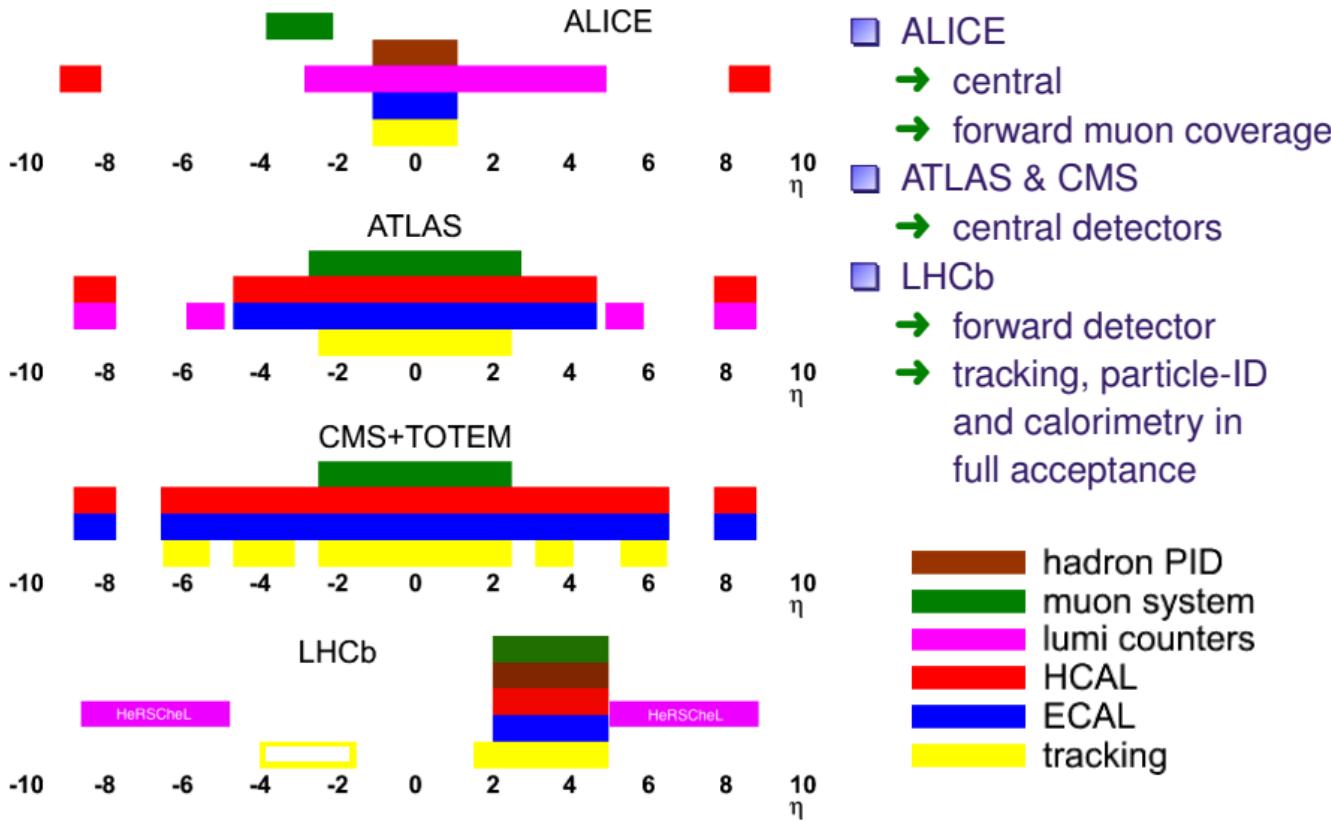


## → HeRSChel: High Rapidity Shower Counters for LHCb



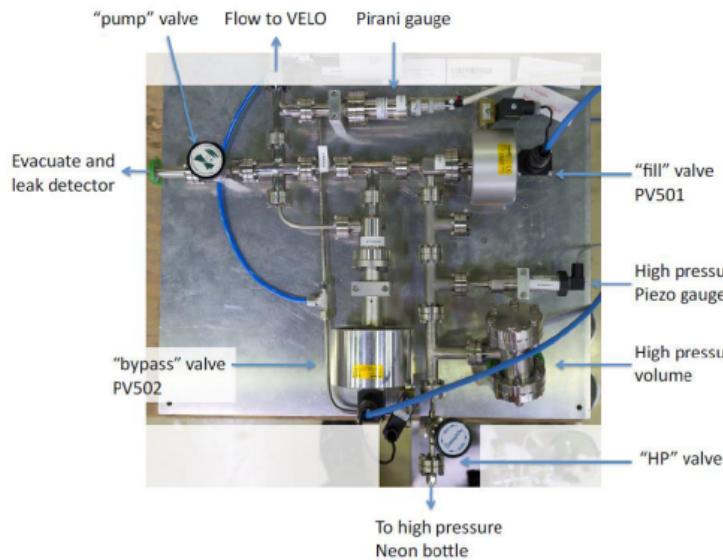
- scintillators at large rapidities
- up to  $\pm 114$  m from IP
- central region not covered
- coverage  $5 < |\eta| < 9$
- sensitive to activity (no tracking)

- ❖ huge gain for diffractive physics and central exclusive production

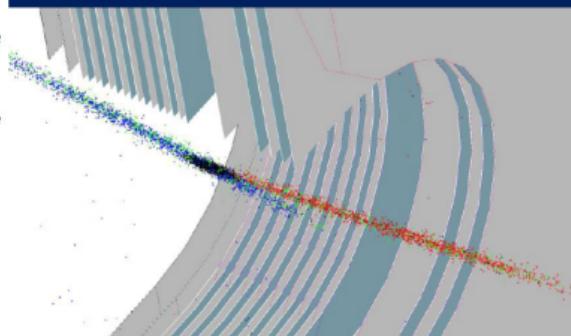




## → SMOG: System for Measuring Overlap with Gas



- injection of gas into interaction region
- very simple robust system
- used for a precise luminosity determination

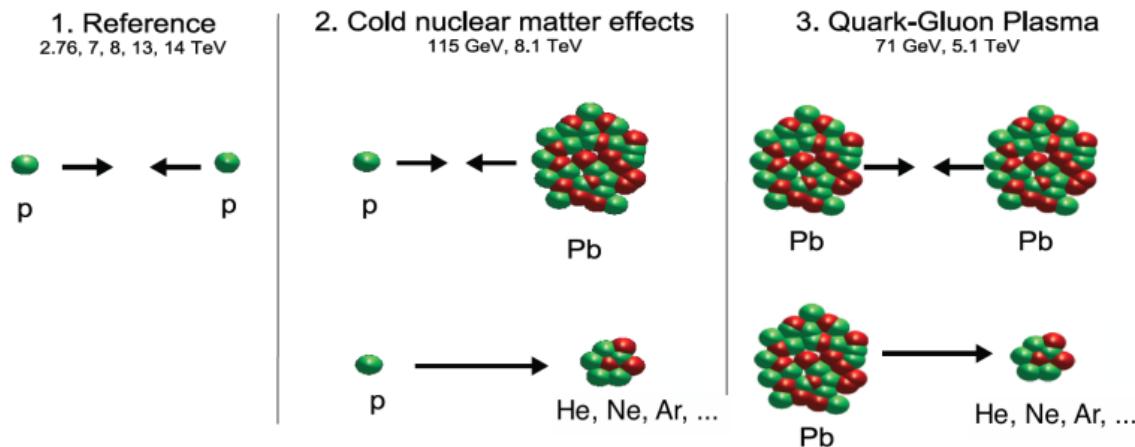


- possibility to inject (noble) gases: He, Ne, Ar (maybe Kr)
- fixed-target physics in pA and PbA configuration



→ possibility to study hadronic collisions . . .

- as a function of the centre-of-mass energy
- for different beam-target combinations



- symmetric configurations: pp and PbPb
- asymmetric configurations: pPb and fixed target



→ available/upcoming LHCb running modes and  $\sqrt{s_{NN}}$

$E_{\text{beam}}(\text{p})$	pp	p-Gas	p-Pb/Pb-p	Pb-Gas	Pb-Pb
450 GeV	0.90 TeV				
1.38 TeV	2.76 TeV				
2.5 TeV	5 TeV	69 GeV <sup>(1)</sup>			
3.5 TeV	7 TeV				
4.0 TeV	8 TeV	87 GeV <sup>(2)</sup>	5 TeV	54 GeV <sup>(3)</sup>	
6.5 TeV	13 TeV	110 GeV <sup>(4)</sup>	8.2 TeV	69 GeV <sup>(5)</sup>	~5 TeV

(1) SMOG with  $^{40}\text{Ar}$  few h (2015)

(2) SMOG with  $^{20}\text{Ne}$  2.5 h (2012)

(3) SMOG with  $^{20}\text{Ne}$  30 min (2013)

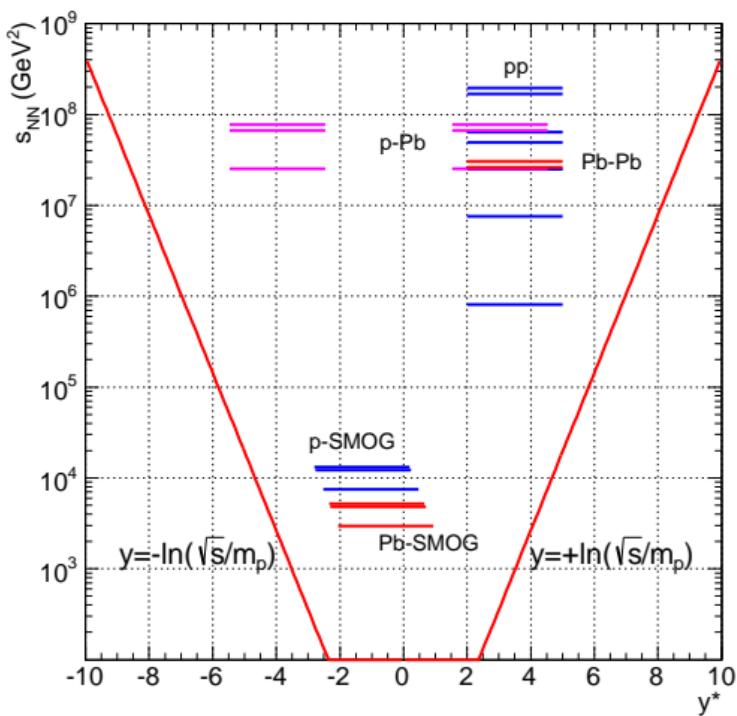
(4) SMOG with  $^4\text{He}$  8 h (2015) + 2 d (2016),  $^{20}\text{Ne}$  12 h (2015),  $^{40}\text{Ar}$  3 d (2015)

(5) SMOG with  $^{40}\text{Ar}$  1.5 weeks (2015)

❖ bridge the gap from SPS to LHC in a single experiment



→ kinematic acceptance for  $E_{\text{beam}}(p)$  between 450 GeV and 7 TeV



$y^*$ : rapidity in nucleon-nucleon centre-of-mass system, with forward direction (+ values) in direction of the proton/beam

some results →



→ Energy Flow (EF): average energy per event in a given  $\eta$ -interval

$$EF : \quad \frac{1}{N_{int}} \frac{dE}{d\eta} = \frac{1}{\Delta\eta} \left( \frac{1}{N_{int}} \sum_{i=1}^{N_{part,\eta}} E_{i,\eta} \right)$$

- part of underlying event
- sensitive to multi-parton interactions & parton radiation
- comparison to PYTHIA 6, PYTHIA 8 and cosmic ray models  
(generators used to model cosmic ray induced air showers)

❖ analysis for different event classes:

- ❑ inclusive minimum bias:  $\geq 1$  tracks with  $\eta \in [1.9, 4.9]$  and  $p > 2 \text{ GeV}/c$
- ❑ hard scattering: inclusive  $\&\&$   $\geq 1$  tracks with  $p_T > 3 \text{ GeV}/c$
- ❑ diffractive enriched: inclusive  $\&\&$  0 tracks with  $\eta \in [-3.5, -1.5]$
- ❑ non-diffractive enriched: inclusive  $\&\&$   $\geq 1$  tracks with  $\eta \in [-3.5, -1.5]$



## → results:

- Energy Flow increases with momentum transfer

$$EF_{diff} < EF_{incl} < EF_{ndiff} < EF_{hard}$$

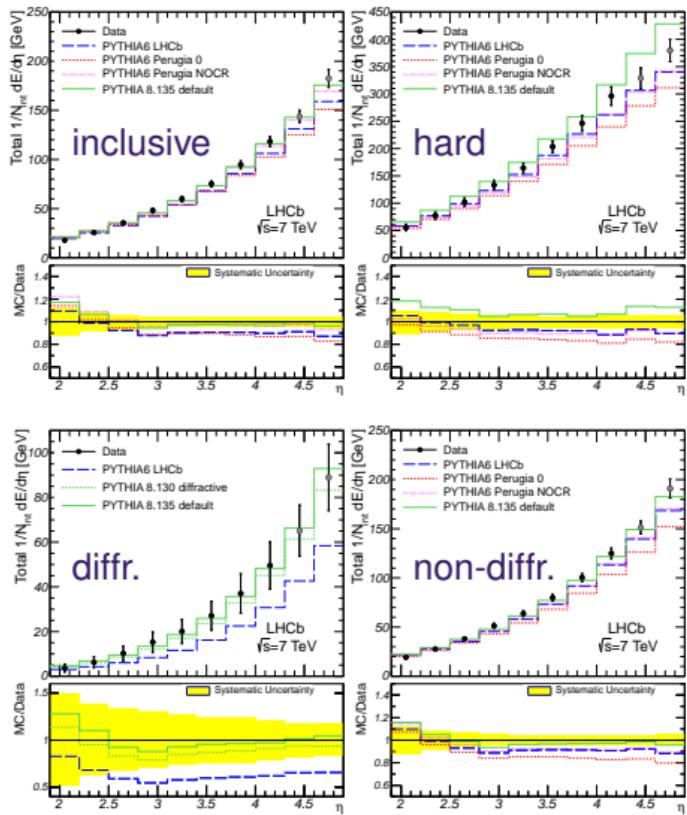
- highest sensitivity at large  $\eta$
- largest uncertainties at small  $\eta$

PYTHIA 6: Energy Flow is

- overestimated at small  $\eta$
- underestimated at large  $\eta$

PYTHIA 8.135 default:

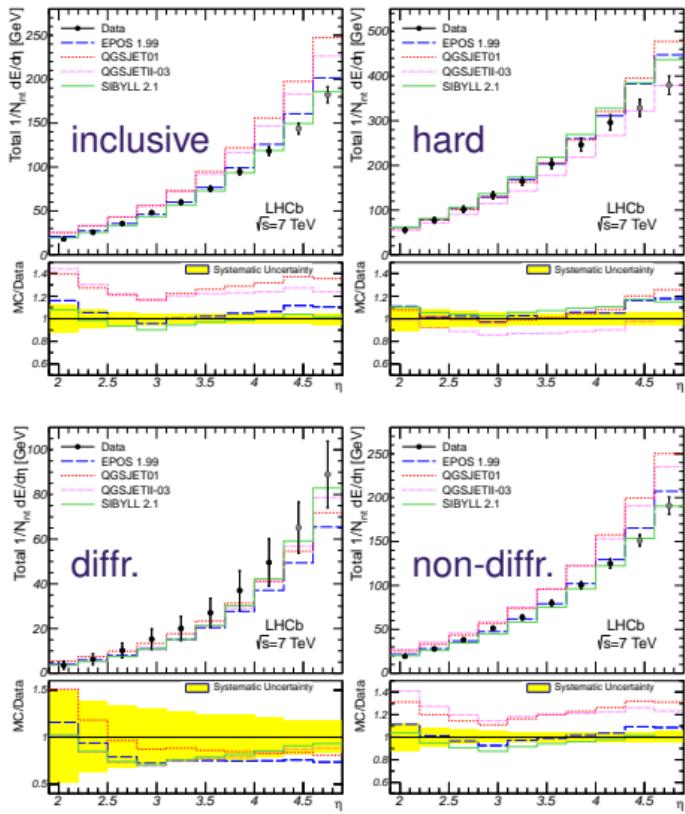
- except for hard scattering the Energy Flow is well described for all samples





→ models not tuned to LHC(b)

- EPOS & SIBYLL:  
good description of EF for inclusive and non-diffractive events
- QGSJET models:  
overestimated EF for inclusive and non-diffractive events; good description of hard scattering
- best description by SIBYLL
- all models underestimate the EF of diffractive events





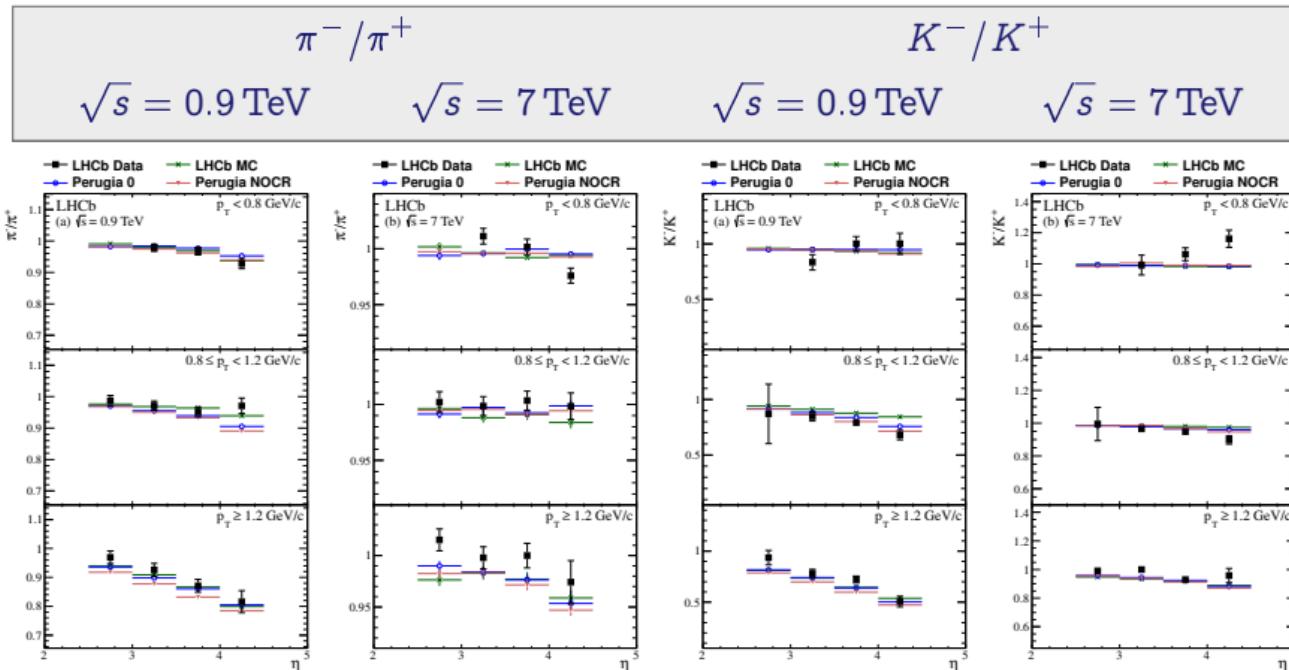
→ particle production ratios as a function of  $y$  and  $p_T$

- antiparticle/particle ratios and ratios of different particle species

$$\frac{\pi^-}{\pi^+}, \frac{K^-}{K^+}, \frac{\bar{p}}{p}, \frac{\bar{\Lambda}}{\Lambda} \quad \text{and} \quad \frac{K^+ + K^-}{\pi^+ + \pi^-}, \frac{p + \bar{p}}{\pi^+ + \pi^-}, \frac{p + \bar{p}}{K^+ + K^-}, \frac{\bar{\Lambda}}{K_S^0}$$

- measurements at  $\sqrt{s} = 0.9$  and 7 TeV
- use decay modes  $K_S^0 \rightarrow \pi^+\pi^-$ ,  $\Lambda \rightarrow p\pi^-$  and  $\bar{\Lambda} \rightarrow \bar{p}\pi^+$
- in the ratios many systematic uncertainties cancel
- mainly information about the hadronization process:
  - baryon number transport from  $\bar{p}/p$  and  $\bar{\Lambda}/\Lambda$
  - baryon suppression from baryon/meson ratios
  - strangeness suppression from kaon/pion ratios

data compared to PYTHIA 6 →



- charge ratio drops towards larger rapidities (proton beam)
- effect more pronounced at higher  $p_T$
- general behavior reproduced by **all PYTHIA 6 tunes**

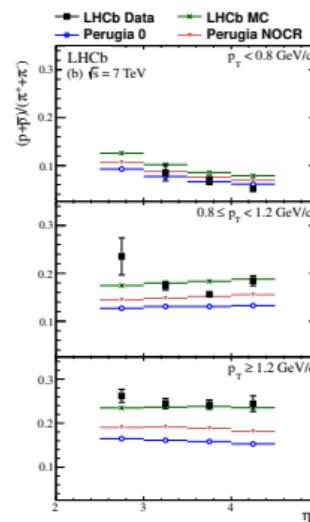
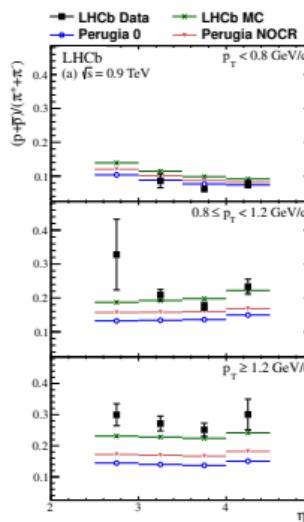
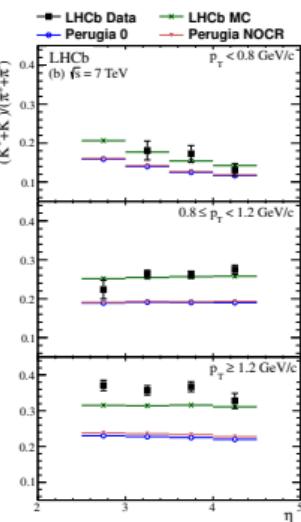
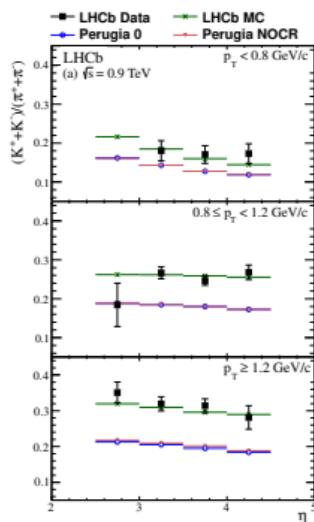


$$(K^+ + K^-)/(\pi^+ + \pi^-)$$

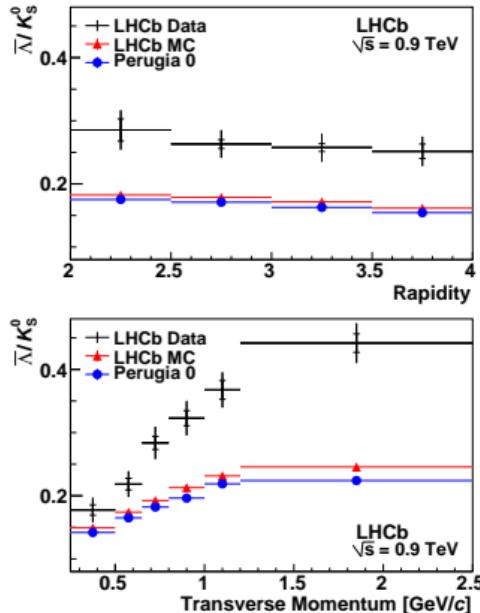
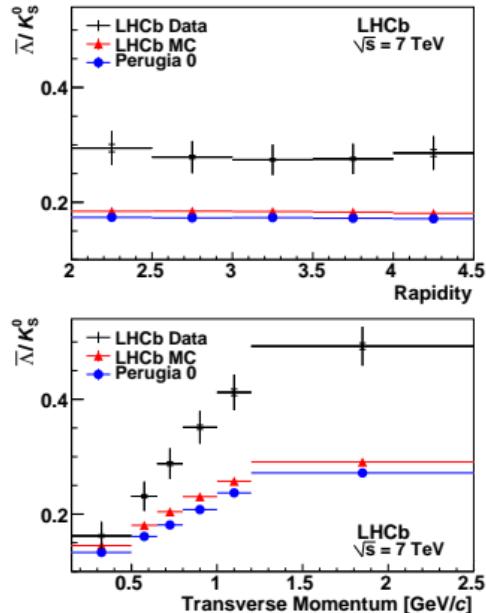
$$\sqrt{s} = 0.9 \text{ TeV}$$

$$(\bar{p} + p)/(\pi^+ + \pi^-)$$

$$\sqrt{s} = 0.9 \text{ TeV}$$

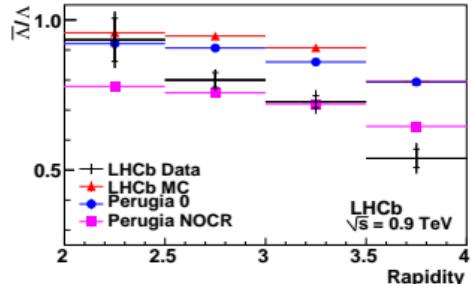
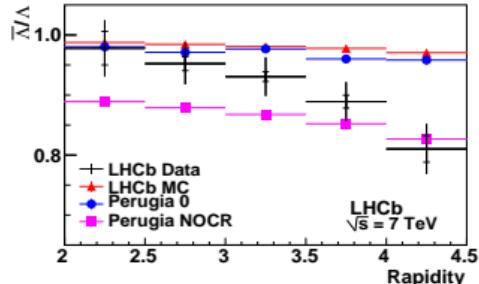


- strangeness suppression very similar to baryon suppression
- less suppression at larger  $p_T$
- reasonable description only by LHCb-tune of PYTHIA 6

 $\sqrt{s} = 0.9 \text{ TeV}$  $\sqrt{s} = 7 \text{ TeV}$ 

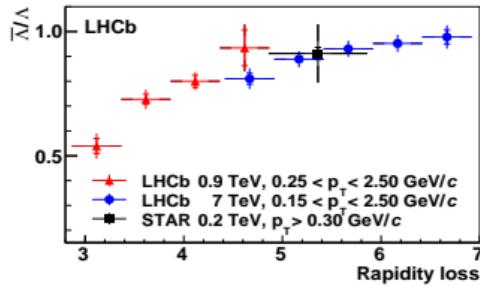
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- significantly reduced baryon suppression at large  $p_T$
- all considered PYTHIA 6 tunes fail to describe the strangeness-data

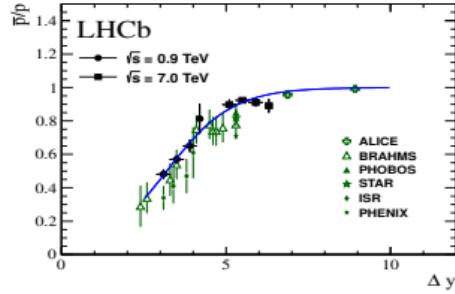
 $\sqrt{s} = 0.9 \text{ TeV}$  $\sqrt{s} = 7 \text{ TeV}$ 

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- all considered PYTHIA 6 tunes fail to describe observed  $y$ -dependence
- behavior as a function of  $\Delta y$  is independent of  $\sqrt{s}$  for  $\bar{\Lambda}/\Lambda$  and  $\bar{p}/p$



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→ prompt charm production in 7 TeV pp collisions, using:

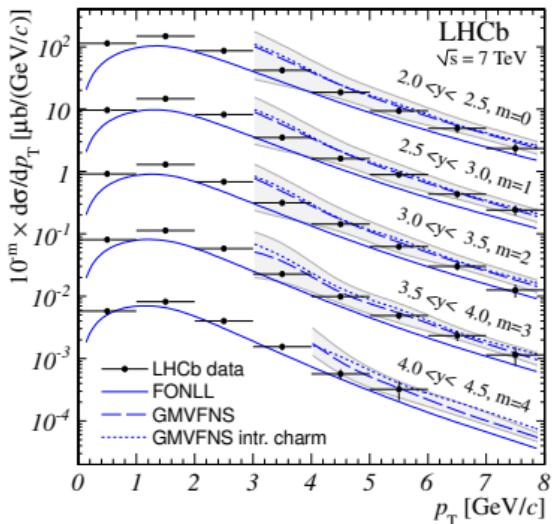
$$\begin{aligned} D^0 &\rightarrow K^- \pi^+ \\ D^+ &\rightarrow K^- \pi^+ \pi^+ \\ D^{*+} &\rightarrow D^0(K^- \pi^+) \pi^+ \\ D_s^+ &\rightarrow \phi(K^+ K^-) \pi^+ \\ \Lambda_c^+ &\rightarrow p K^- \pi^+ \end{aligned}$$

- charge conjugate modes are summed
- kinematic range:  $0 < p_T < 8 \text{ GeV}/c$  and  $2.0 < y < 4.5$
- exploit RICH detectors for kaon identification
- separate prompt and secondary charm from b decays by impact parameter

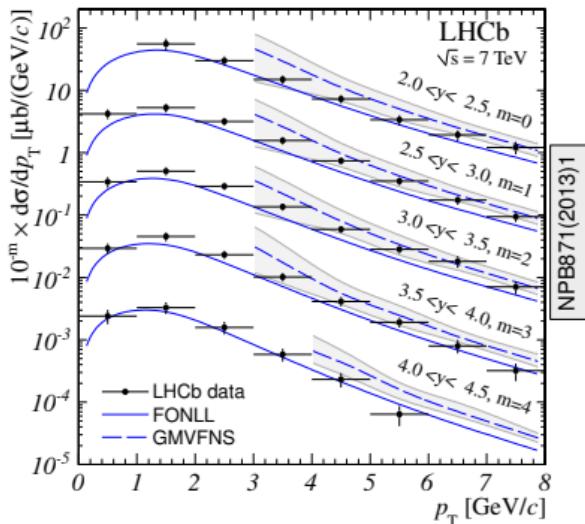
efficiency and branching -fraction corrected cross-sections →



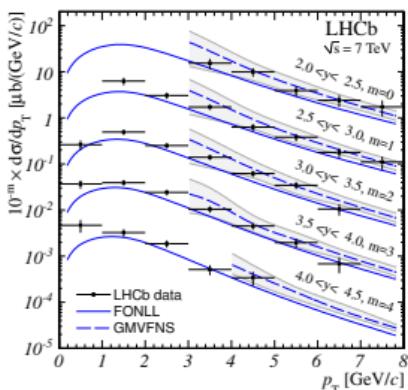
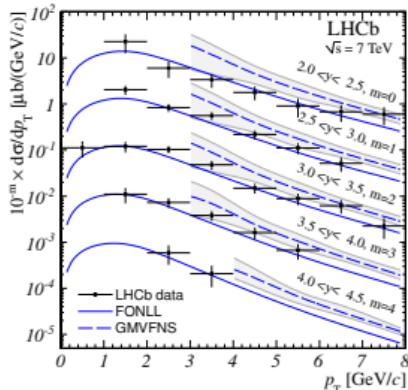
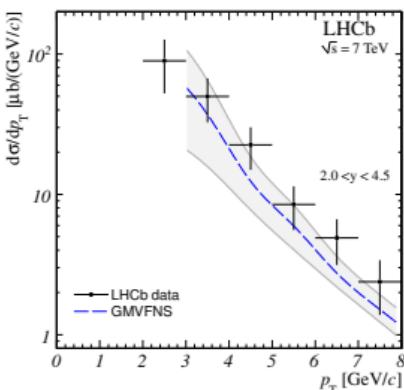
### $D^0$ production



### $D^+$ production



- qualitative behaviour described by theory
- large theoretical (scale) uncertainties
- little sensitivity to intrinsic charm in LHCb acceptance
- expected to be important at large  $y$  and large  $p_T$

 $D^{*+}$  production $D_s^+$  production $\Lambda_c^+$  production

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→ cross-section  $\sigma(c\bar{c}) \rightarrow \text{hadron}(p_T < 8 \text{ GeV}/c, 2.0 < y < 4.5)$

- use fragmentation fractions  $f(c \rightarrow h)$  from  $e^+e^-$  measurements
- combined result from all 5 measurements

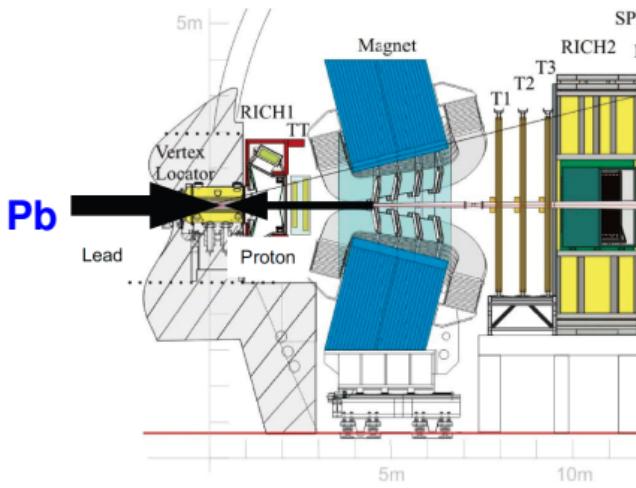
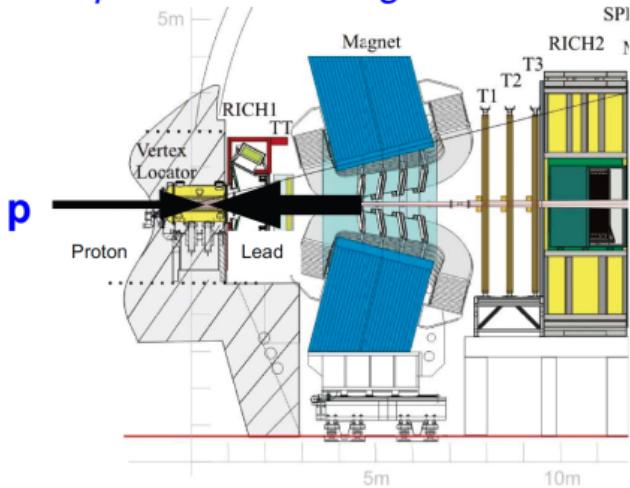
$$\sigma(c\bar{c})_{p_T < 8 \text{ GeV}/c, 2.0 < y < 4.5} = 1419 \pm 12_{(\text{stat})} \pm 116_{(\text{syst})} \pm 65_{(\text{frag})} \mu\text{b}$$

→ 2.5% of inelastic  $pp$  cross-section, 20 times larger than  $\sigma(pp \rightarrow b\bar{b})$

# 4. PROTON-LEAD COLLISIONS



→ experimental configurations:

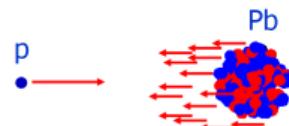


- asymmetric colliding beam mode: 4 TeV p on 1.58 TeV/nucleon Pb
- swap beam directions to measure both hemispheres
  - “forward” ≡ proton direction
  - “backward” ≡ lead direction

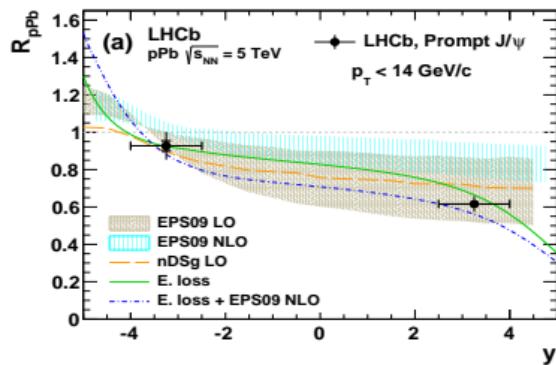


→ probe effects of nuclear environment

- understanding needed to disentangle nuclear effects from quark gluon plasma signatures in PbPb collisions
- use  $J/\psi \rightarrow \mu^+ \mu^-$  decays
- compare pp and pPb cross-sections



$$R_{pPb}(y) = \frac{1}{A_{Pb}} \cdot \frac{\sigma_{pPb}(y)}{\sigma_{pp}(y)}$$

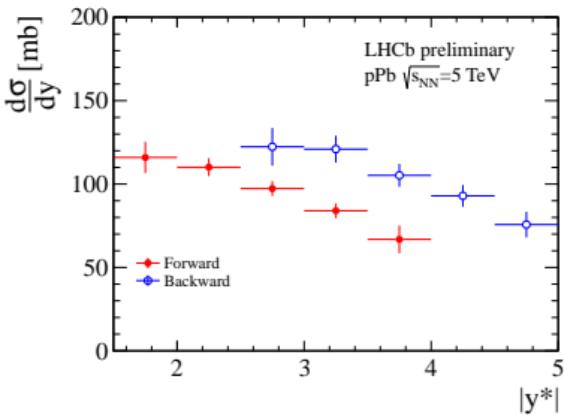
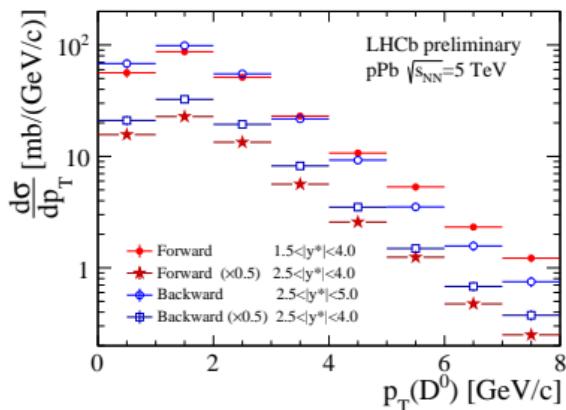


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- $R_{pPb} \neq 1$ : the nucleus is not a loose collection of independent nucleons
- theory with known effects reproduces the measurements, although . . .
- large theoretical uncertainties – data provide important constraints



## → differential cross-sections



LHCb-COINF-2016-003

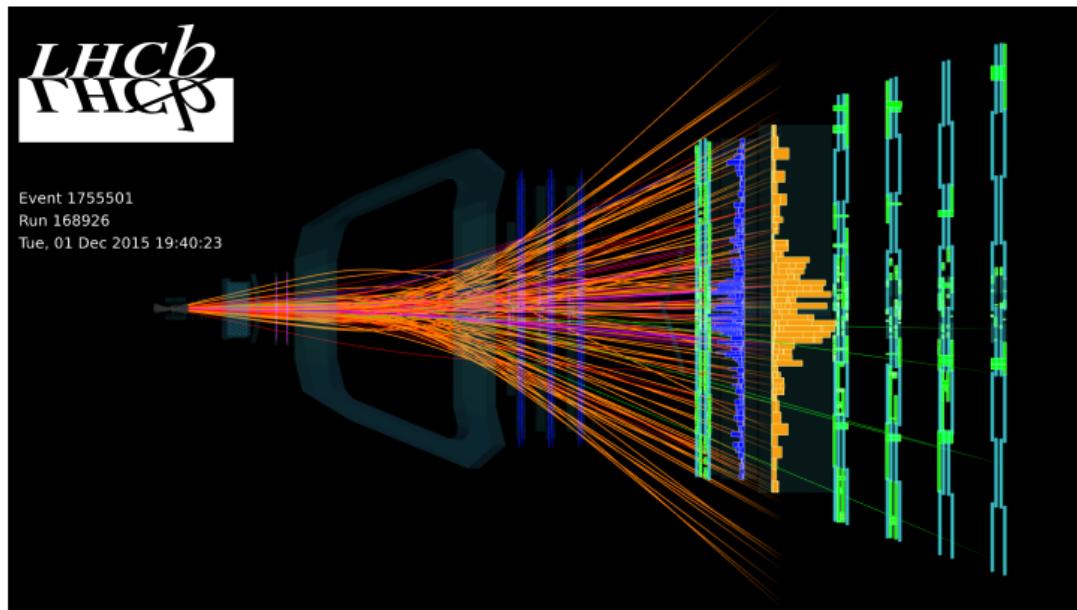
- █ kinematic range:  $p_T < 8 \text{ GeV}/c$ ,  $-5.0 < y < -2.5$  and  $1.5 < y < 4.0$
- █ extraction of prompt yields down to  $p_T \rightarrow 0$
- █ similar  $p_T$  slopes in beam and target hemispheres
- █ more forward production in target hemisphere



→ first participation in PbPb running by LHCb in December 2015

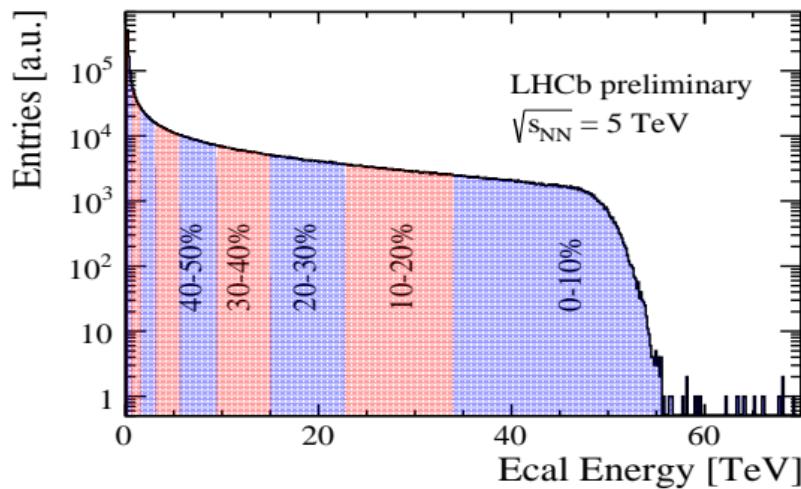
→  $10^9$  collisions recorded

→ example: PbPb collision with 1130 reconstructed tracks





→ energy deposit in the electromagnetic calorimeter

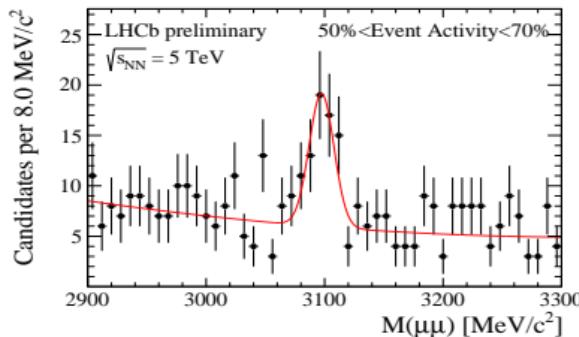
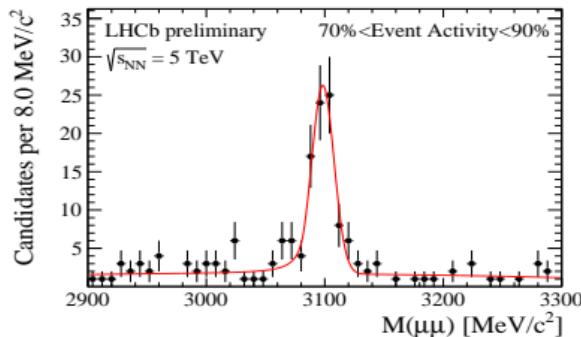


- up to 60 TeV per event
- related to overlap of colliding nuclei
- track based analysis possible for peripheral to semi-central collisions (activity range 100% - 50%)

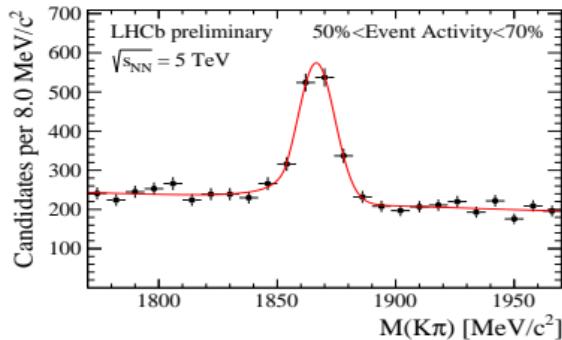
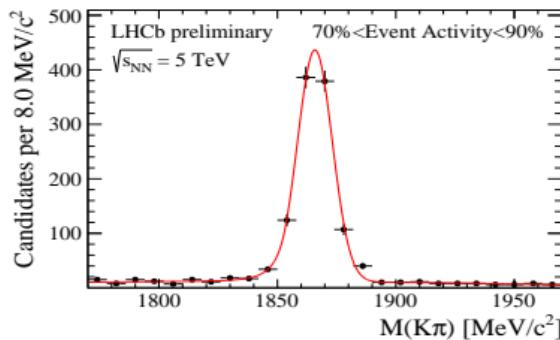
first signals →



→  $J/\psi \rightarrow \mu^+ \mu^-$  decays

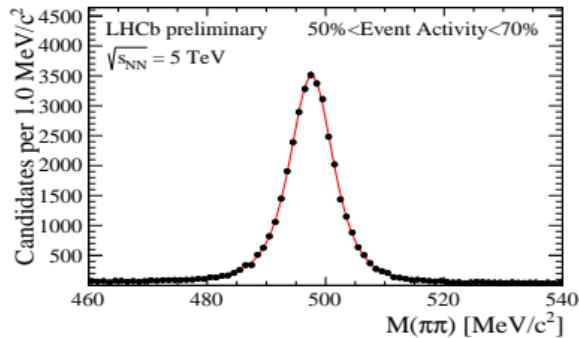
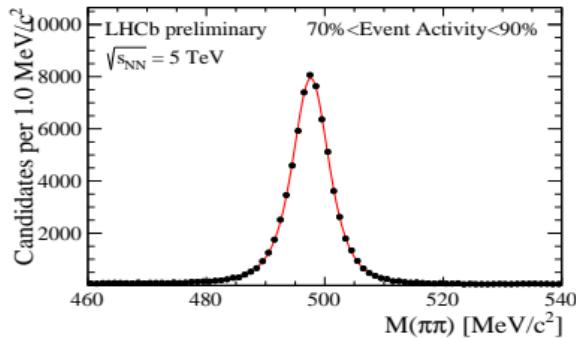


→  $D^0 \rightarrow K^- \pi^+$  and  $\bar{D}^0 \rightarrow K^+ \pi^-$  decays

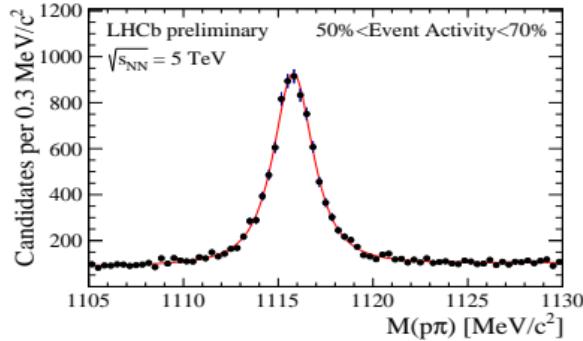
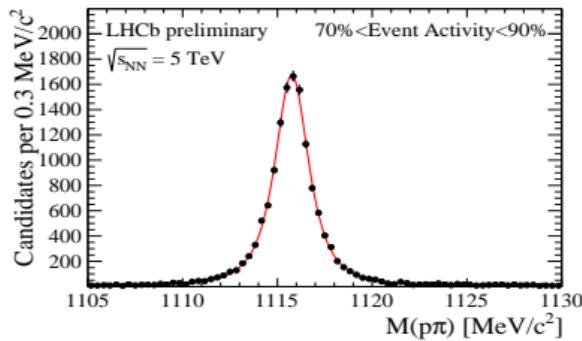




→  $K_S^0 \rightarrow \pi^+ \pi^-$  decays



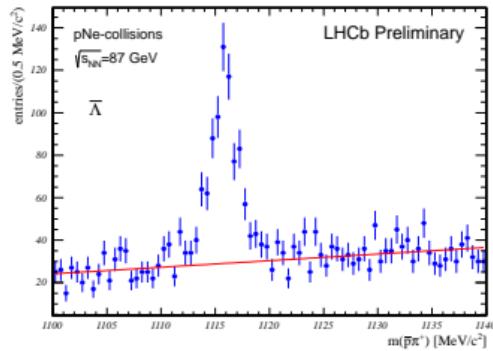
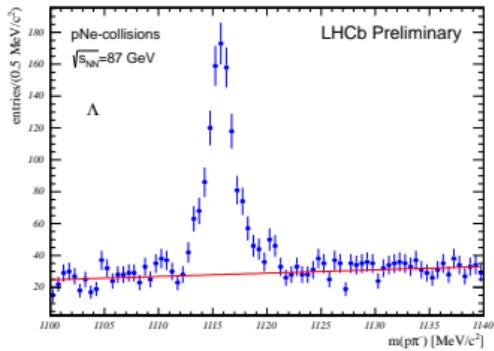
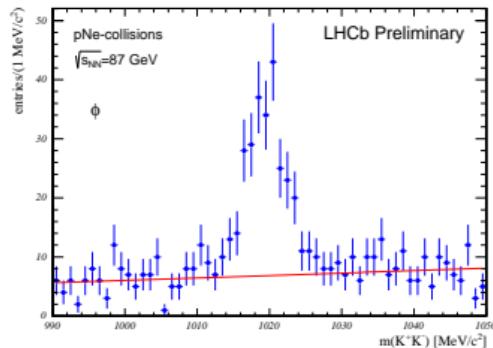
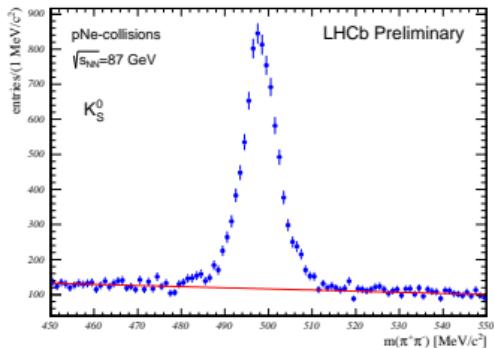
→  $\Lambda \rightarrow p\pi^- + CC$  decays



# 6. FIXED-TARGET PHYSICS



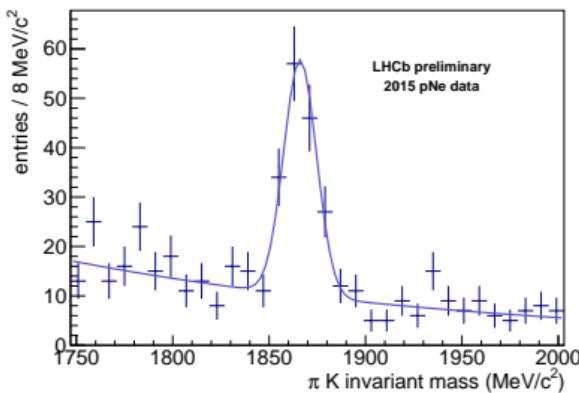
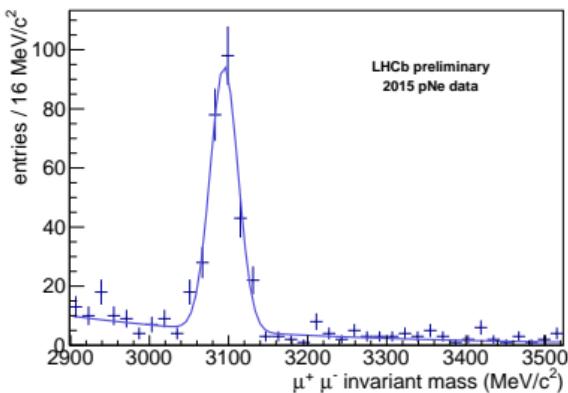
→ strangeness production in  $p\text{Ne}$  collisions (2012) at  $\sqrt{s_{NN}} = 87 \text{ GeV}$



LHCb-CONF-2012-034



→ charm production in pNe collisions (2015) at  $\sqrt{s_{NN}} = 110 \text{ GeV}$



<https://twiki.cern.ch/twiki/bin/view/LHCb/LHCbPlots2015>

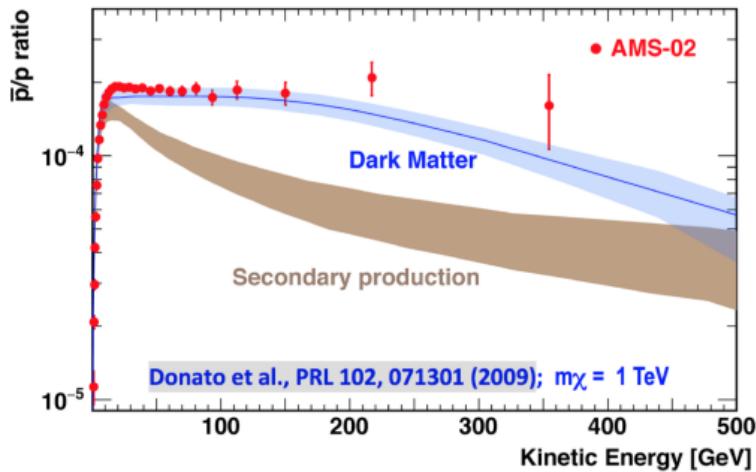
- clean signals
- next: luminosity determination based on elastic  $pe^-$  scattering
- goal: cross-section measurements for He, Ne and Ar targets



## → cosmic ray physics and cosmology

- understanding of extensive air showers → MC tuning
- understanding the AMS antiproton/proton ratio

### AMS $\bar{p}/p$ results and modeling



- ❖ use fixed-target measurements to clarify: QCD or Dark Matter annihilation



→ *a few selected results on forward particle production:*

- proton-proton interactions:
    - energy flow surprisingly well described by cosmic ray models
    - difficulties to describe particle composition in Pythia-based models
  - proton-lead interactions:
    - charm production to probe cold nuclear matter effects
    - needed to disentangle QGP effects in heavy ion collisions
  - lead-lead collisions:
    - measurements possible for peripheral to semi-central collisions
    - expect sensitivity to QGP signatures
  - fixed-target physics with (so far) {p,Pb} on {He,Ne,Ar}:
    - upcoming strangeness and charm production measurements
    - links to cosmic ray physics and cosmology
- ❖ LHCb is more “general purpose” than the GPDs . . .